



## Schedule 1: Remuneration

### Bespoke Portfolios including Fundamental AIM IHT Portfolio

#### Account Opening Fees

An account opening fee of **1% of the value of the assets** in the portfolio (see Application), capped at a maximum of £2000.00, in respect of which the Investment Management Services are provided will be charged to the Customer on the opening of the account.

An additional fee will be charged if FAML has to pay the Customer's advisor for Initial Advice and the Customer has signed the declaration.

*Account opening fees described above are not subject to VAT.*

#### Management Fees

##### Advised Clients (Introduced by Financial Adviser)

FAML will be remunerated on the basis of:

**Entire Portfolio** 1% per annum

of the value of assets in the Portfolio in respect of which the Investment Management Services are provided.

*An additional fee will be charged if FAML has to pay the Customer's advisor for Ongoing Advice and the Customer has signed the declaration.*

##### Non -Advised Clients (No Financial Adviser)

FAML will be remunerated on the following basis in respect of the value of assets under management of which the Investment Management Services are provided:

**On first £150,000** 1.50% per annum

**Balance over £150,000** 1.00% per annum

*Management fees are subject to VAT*

*Fees will be charged quarterly in arrears following the relevant reporting dates, being 31 December, 31 March, 30 June and 30 September.*

#### Transaction Charges

Each Transaction executed on behalf of the Customer's account will be charged a maximum commission at the rate of **£50 per trade**.

*Additional charges are applicable for international transactions and custody. Rates are available on request.*

*Transaction charges are not subject to VAT*

| Custody and Regulatory Fees                         |                |
|---|----------------|
| General Investment Accounts and ISAs                | <b>£120.00</b> |
| Self-Invested Personal Pension Scheme ('SIPPS')     | <b>£160.00</b> |
| Financial Services Compensation Scheme ('FSCS') Fee | <b>£20.00</b>  |

*(NB Custody charges shown are per account per annum and are charged quarterly in arrears)*

*Custody and Regulatory fees are subject to VAT*

| Other Charges   |                |
|---|----------------|
| BACS transfer out fee   | <b>£15.00</b>  |
| CHAPS transfer out fee  | <b>£25.00</b>  |
| Stock Transfer out and certificated withdrawals (per line of stock) | <b>£10.00</b>  |
| Production of Annual Capital Gains Tax Statement                    | <b>£125.00</b> |
| Ad hoc valuation  | <b>£50.00</b>  |
| SIPP/ISA/JISA Account closure fee                                   | <b>£50.00</b>  |

*Other charges are subject to VAT*

## Model Portfolios (Income Boosters and Ultimate Stocks)

### Account Opening Fees

There is no Account Opening Fee on Model Portfolios

### Management Fees

FAML will be remunerated on the basis of **0.5% per annum** of the value of assets in the Portfolio in respect of which the Investment Management Services are provided.

*An additional fee will be charged if FAML has to pay the Customer's advisor for Ongoing Advice and the Customer has signed the declaration.*

*Management fees **are** subject to VAT*

*Fees will be charged quarterly in arrears following the relevant reporting dates, being 31 December, 31 March, 30 June and 30 September.*

### Transaction Charges

Each Transaction executed on behalf of the Customer's account will be charged a maximum commission at the rate of **£15 per trade**.

*Additional charges are applicable for international transactions and custody. Rates are available on request.*

*Transaction charges are not subject to VAT*

| Custody and Regulatory Fees                         |                |
|---|----------------|
| General Investment Accounts and ISAs                | <b>£120.00</b> |
| Self-Invested Personal Pension Scheme ('SIPPS')     | <b>£160.00</b> |
| Financial Services Compensation Scheme ('FSCS') Fee | <b>£20.00</b>  |

*(NB Custody charges shown are per account per annum and are charged quarterly in arrears)*

*Custody and Regulatory fees are subject to VAT*

| Other Charges   |                |
|---|----------------|
| BACS transfer out fee   | <b>£15.00</b>  |
| CHAPS transfer out fee  | <b>£25.00</b>  |
| Stock Transfer out and certificated withdrawals (per line of stock) | <b>£10.00</b>  |
| Production of Annual Capital Gains Tax Statement                    | <b>£125.00</b> |
| Ad hoc valuation  | <b>£50.00</b>  |
| SIPP/ISA/JISA Account closure fee                                   | <b>£50.00</b>  |

*Other charges are subject to VAT*



**Intermediary fee for initial and ongoing advice**

In recognition of advice provided to the Customer in respect of the Portfolio by the Customer’s Advisor, FAML will direct the Custodian to pay:

1 .....% of the initial value of the assets invested in the Portfolio <sup>1,2</sup>

and/or

2 .....% of the value of the assets in the Portfolio from time to time by way of annual management fee paid quarterly in arrears <sup>1,2</sup>

<sup>1</sup> Delete if not applicable

<sup>2</sup> Please enter fee payable to Advisor not including fee due to FAML.

to .....[Intermediary Firm Name] from the assets in the Portfolio.

**Customer acknowledgement**

**Declaration**

I/We hereby agree to the payment of the above fees for the provision of initial and ongoing advice by ..... [Intermediary Firm] in respect of the P ortfolio from the assets in the Portfolio:

----- **Customer signature**

----- **Customer name**

----- **Date**

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